24.6.2025 Diamond Open Access

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A very short history of academic publishing

- **1665**: Academic societies publish the first journals and were the dominant publishers of scientific journals for the next 300 years.
- The original role of commercial publishers was to act as service **providers** for the dissemination of scientific publications: printing the journals and sending them all over the world.
- Post World War II: The budget dedicated to science increased enormously.
- Scholarly publishing had to adapt to a much increased demand.
- Commercial publishers perceive the potential huge profitability of academic publishing. Many new journals for specific fields of research are created by commercial publishers.



The first volume of Philosophical Transactions of the Royal Society published in 1665.

Philosophical Transactions Volume 1 frontispiece, CC BY 4.0

Academic publishing: Big fish eats little fish



Adapted from: Larivière V, Haustein S, Mongeon P (2015) The Oligopoly of Academic Publishers in the Digital Era. PLOS ONE 10(6): e0127502. https://doi.org/10.1371/journal.pone.0127502; licensed under a Creative Commons Attribution License.



The «Big 5» oligopoly of academic publishing

Global publisher market shares 2014-2018

Original articles and reviews indexed in Web of Science



Adapted from Najko Jahn (2019) Research compendium for a dataset about corresponding author country affiliations indexed in the Web of Science 2014 – 2018. Licensed under CC-BY 4.0



The serials crisis

Spending on journals (and other reoccurring materials) has greatly outpaced inflation



Percent change in spending in university libraries



Ian Sample, science correspondent ♥@iansample Tue 24 Apr 2012 17.45 BST

Harvard University says it can't afford journal publishers' prices

University wants scientists to make their research open access and resign from publications that keep articles behind paywalls

 (\mathbf{i})





Advent of Open Access

o 2000: 34'000 scientists sign an open letter:

... we will publish in, edit or review for, and personally subscribe to only those scholarly and scientific journals that have agreed to grant unrestricted free distribution rights to any and all original research reports that they have published ...

- **First Open Access publishers** are founded, e.g. BioMed Central (now part of SpringerNature) and PLOS
- 2002/2003: The **Berlin Declaration on OA** and **Budapest OA Initiative** lay the cornerstones of the Open Access movement.
- Funders start to oblige researchers to make their scientific publications freely accessible (SNSF 2008, European Union 2014)
- 2017: Switzerland adopts a National Open Access strategy.



The academic publishing industry adapts

- Major commercial publishers were resistant to the demands of the Open Access movement but adapted quickly:
 - Big publishers launch hybrid Open Access model: pay a fee to make your article
 OA in a subscription journal (e.g. Springer Open Choice, 2004)
 - Big publishers buy smaller Open Access publishers (e.g. 2008 Springer buys BioMed Central, 2021 Wiley buys Hindawi)
 - Big publishers launch their own full Open Access journals (Elsevier 2010, Wiley 2012)
 - 2019: Big publishers sign first **Read & Publish "Big Deals**".



The current situation



- Articles are more accessible than ever!
- o APC-based Open Access is the dominant form of Open Access.
- The Big5 publishers have strenghtened their market position.
- From a «serials crisis» (subscription costs) to an «APC crisis»?
- From excluding readers (have no access) to excluding authors (cannot pay APC)?



Diamond Open Access (the solution?)

What are Diamond OA journals?

• Open Access with open licenses:

All outputs of the journal are Open Access and carry an open license.

• No fees:

Publication in the journal is not contingent on the payment of fees of any kind.

• Community-owned:

The journal title must be owned by public or not-for-profit organisations (or parts thereof) whose mission includes performing or promoting research and scholarship.



The scientific publication system is fucked up. <u>I have written up my</u> reasons for why I believe this here.

One of the actions every researcher can take to do something about it, is to stop contributing to journals that suck. Even better, start contributing to *journals that don't suck*^{TM¹}.

Think of it as a white list approach to publishing. Formulate some criteria for inclusion and then start gathering the journals that meet them in your field².

These are my own criteria for *journals that don't suck*™.

Source: https://marioangst.com/en/blog/posts/criteria-journals/

Adapted from: Armengou, C., Bargheer, M., Gingold, A., Holsinger, S., Laakso, M., Mitchell, D., Mounier, P., Pölönen, J., Rooryck, J., Ševkušić, M., Souyioultzoglou, I., & Varachkina, H. (2024). Operational Diamond OA Criteria for Journals. Zenodo. https://doi.org/10.5281/zenodo.12721408, licensed under <u>CC BY</u>.

Some challenges of Diamond OA journals

• Fragmented landscape of journals

 Many journals (ca. 29000) but the majority of OA diamond journals are small in size, publishing fewer than 25 articles a year. OA diamond journals serve mainly a national authorship.

• Low visibility

 Only a third are registered in the <u>Directory of Open Access Journals</u>, and only ~5% are indexed in either <u>Scopus</u> or <u>Web of Science</u>. Diamond OA journals publish ~9% all articles (less in CH).

Lack of sustainable funding

O 25% of Diamond OA journals operate at a loss, and just over 40% report breaking even. The rest did not know their financial status. Most depend on volunteer work and institutional funding.



Some examples from the survey











New



itschrift der Schweizerischen Vereinigung für Bryologie und urnal de l'Association Swisse de Bryologie et de Lichénologie

Peer Community In Evolutionary Biology



Journal of Plant Hydraulics



(authors need to pay to publish OA)



ExiForest - Biogeosciences and Forestry

Library for the Research Institutes within the ETH Domain: Eawag, Empa, PSI & WSL



Political support for Diamond OA is growing



	Council of the European Union	Brussels, 23 May 2023 (OR. en) 9616/23 RECH 190 EDUC 169 P1 77	
		DIGIT 96	
OUTCOME OF F			
From:	General Secretariat of the Council		
On:	23 May 2023		
To:	Delegations		
No. prev. doc.:	8827/23		
Subject:	High-quality, transparent, open, trustworthy and equitable scholarly publishing - Council conclusions (approved on 23 May 2023)		

EU Member States in these conclusions unanimously support "the development of aligned institutional and funding policies and strategies regarding not-forprofit open access multi-format scholarly publishing models in Europe with no costs for authors or readers, and to set and implement roadmaps or action plans for a significant expansion of such publishing models."



"Infrastructures and services that enable OA are essential for realising the vision. Especially concerning Diamond OA, current capacities must be scaled up in order to develop alternative solutions for reducing the dependency of scholarly publishing on oligopolistic markets."



New momentum for Diamond Open Access

- Opportunity for Diamond Open Access to position itself as a **solid alternative to the APC** and other commercial models
- Opportunity for the scientific community to **take back control over scholarly communication**
- Opportunity to invest in a sustainable publishing ecosystem