

8.10.2024, PSI

Open Access

Dr. Jochen Bihn







Background

- How to make your publications Open Access
 - Gold Open Access Journals
 - Hybrid Open Access Journals
 - Green Road to Open Access
- •The State of Open Access
- Summary

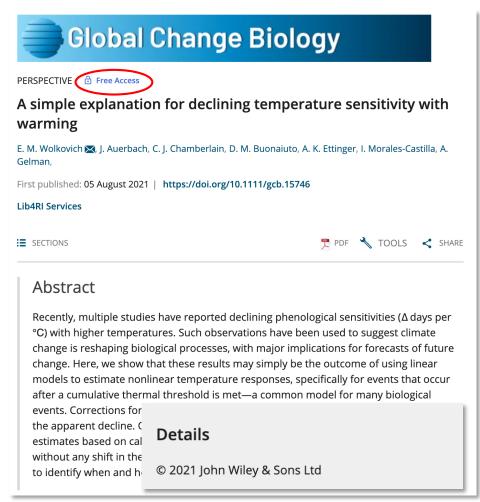


What is Open Access?

- Open Access is the practice of providing online access to scientific information that is free of charge to the reader and free of most copyright and licensing restrictions. ^{1&2}
- Open Access is a kind of access, not a kind of business model, license, or content.²

- (1) https://openaccess.mpg.de/2076881/2014_05-h2020-factsheet-open-access-faq.pdf
- (2) http://legacy.earlham.edu/~peters/fos/overview.htm







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- reuse only with permission



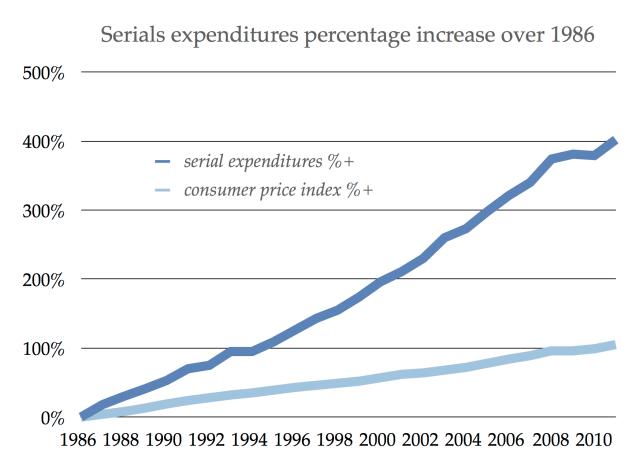
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Origins of Open Access: The Serials Crisis

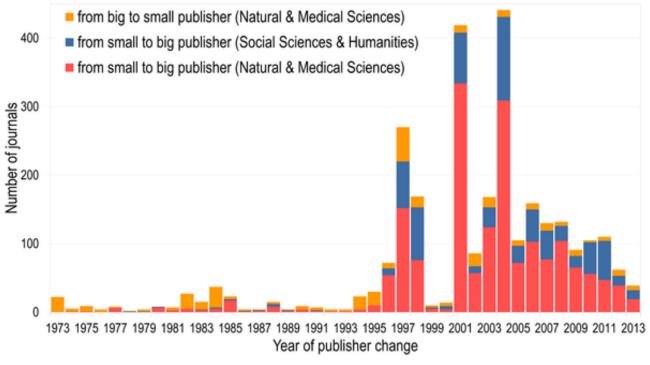


Adapted from Stuart Shieber: Why open access is better for scholarly societies, licensed under a Creative Commons Attribution 3.0 Unported License .





Big Fish eats Little Fish



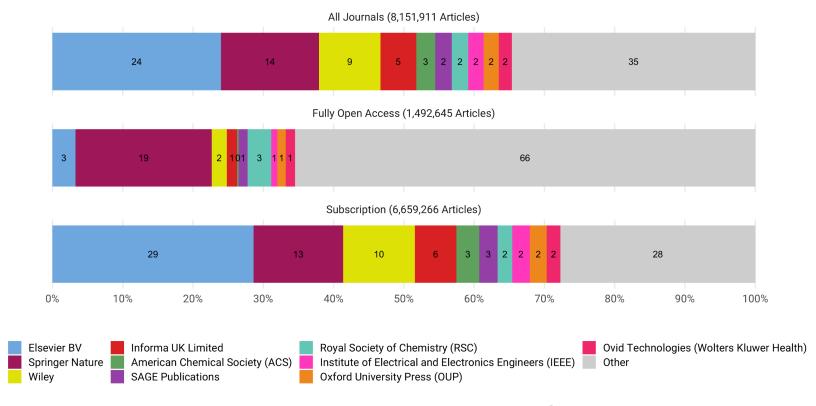
Number of journals changing from small to big publishers, and big to small publishers per year of change.

Adapted from: Larivière V, Haustein S, Mongeon P (2015) The Oligopoly of Academic Publishers in the Digital Era. PLOS ONE 10(6): e0127502. https://doi.org/10.1371/journal.pone.0127502; licensed under a Creative Commons Attribution License.





Publisher Market Share 2014-2018

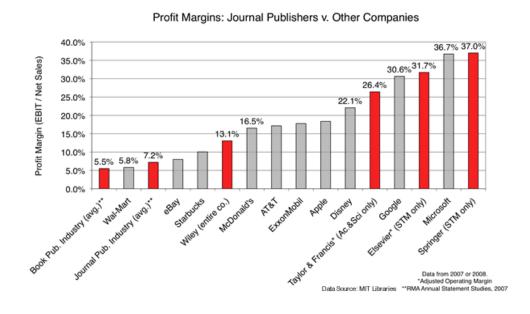


The global publishing landscape is dominated by a few large publishers.





The Academic Publishing Market



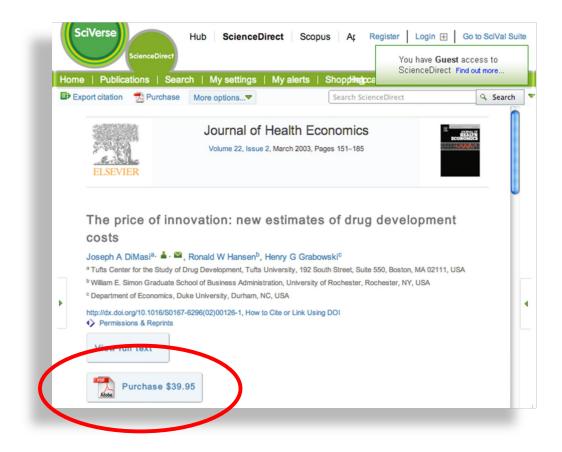
- oligopolistic conditions
- dysfunctional market
- huge profit margins

"Publishing obscure academic journals is that rare thing in the media industry: a license to print money." (The Economist, 14 April 2012)





The Access Problem



- Articles are only accessible to a fraction of potential users.
- Research is having only a fraction of its potential use and impact.
- Research is achieving only a fraction of its potential productivity and progress.



Some Benefits of Open Access ...



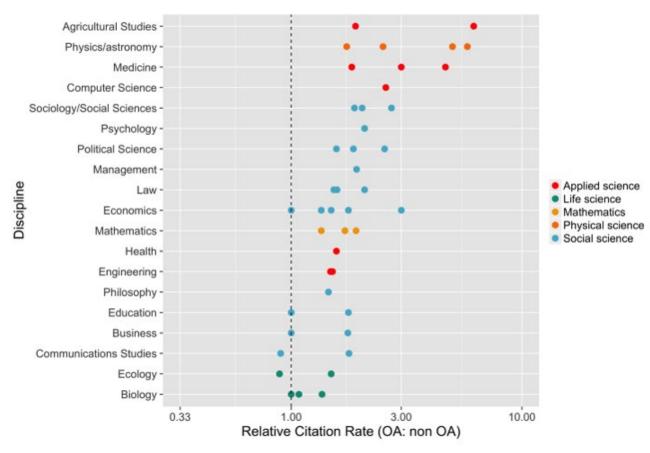
... even if in the end OA turns out not to be cheaper

Source: Karolinska Institutet, University Library: Open Access, adapted from Benefits of Open Access, Danny Kingsley & Sarah Brown, CC-BY.





Open Access articles get more citations



Source: McKiernan et al. 2016: How open science helps researchers succeed. eLife 5, e16800, http://dx.doi.org/10.7554/eLife.16800, CC-BY.





How to publish Open Access

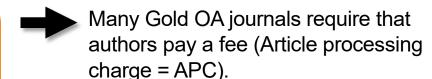




3 Types of Journals

Gold

All articles are freely accessible for all on the journal website



Hybrid

Some articles are freely accessible, others are pay per view/subscribers only

Hybrid OA journals offer the option to publish Open Access for a fee during submission or/and acceptation.

Subscription

All articles are pay per view or accessible for subscribers only

You will have to use the green route to Open Access and make your article freely available in DORA.



Gold Open Access Journals



Open Access Journals

- All articles are immediately published Open Access
- Authors usually retain copyright and articles are published under <u>Creative Commons Attribution</u> <u>License</u>.
- Publisher may be commercial, non-profit, or societies.
- Most are peer reviewed.
- OA journals earn respectability the same way other journals do: through the quality of their articles and the prominence of the people they attract as authors, editors, etc.

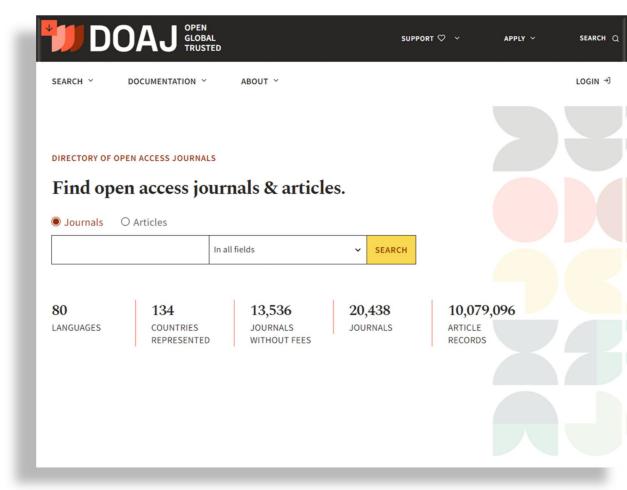


ROYAL SOCIETY



Directory of Open Access Journals www.doaj.org

- > 20000 OA-Journals
- Whitelist for OA journals (see: <u>criteria for inclusion</u>)
- Not in DOAJ?
 - "Hybrid OA journal"
 - Very new journal
 - Low quality OA journals

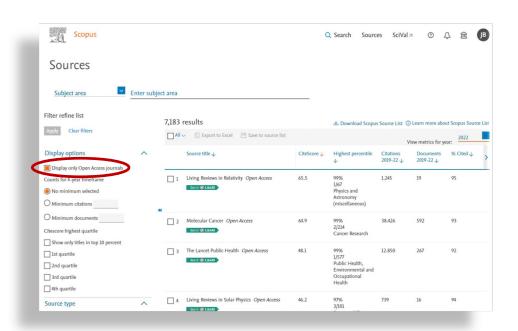




OA Journals in Scopus and Web of Science

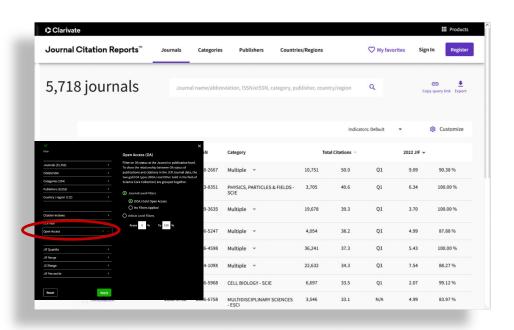
Scopus

- > 7000 OA Journals indexed
- Scopus > Sources: https://www.scopus.com/sources.uri



Web of Science

- > 5500 OA Journals indexed
- Journal Citation Reports > Journals: https://jcr.clarivate.com/jcr/browse-journals







How to assess the quality of OA Journals

- Included in DOAJ?
- Read some articles in the journal!
- Have a look at the journal's website!
- Have a look at the editorial board!
- Is the publisher a member of <u>OASPA</u> (Open Access Scholarly Publishers Association) or <u>COPE</u> (Committee on Publication Ethics)?
- More tips:
 <u>Think. Check. Submit.</u>

 <u>Open Access Journal Quality Indicators</u>

! THINK

Are you submitting your research to a trusted journal?

Publishing your research results is key to **advancing your discipline** – and your **career** – but with so many journals in your field, how can you be sure that you're choosing a **reputable**, **trustworthy** journal?



SUBMIT

credentials and decide if it will help you **reach** the right audience with your research, and make an **impact** on your career.

Tips to **confirm** a journal's

Take control of your career at thinkchecksubmit.org

Do not trust «blacklists» of predatory journals. Use critical thinking.





Business Models of Gold Open Access Journals

Neither authors nor readers pay any fees

- Costs of publishing are funded by an academic institution, society, government etc.
- Publication model is called Diamond Open Access (or Platinum Open Access)
- Most journals are community-driven, academic-led, and academic-owned publishing initiatives
- less present in the physical sciences & technology compared to social sciences and arts & humanities
- Examples:
 - SCOAP3 Initiative based at CERN to convert core high energy physics journals to OA
 - SciPost: Physics & Chemistry; grassroots iniative; funded by academic institution

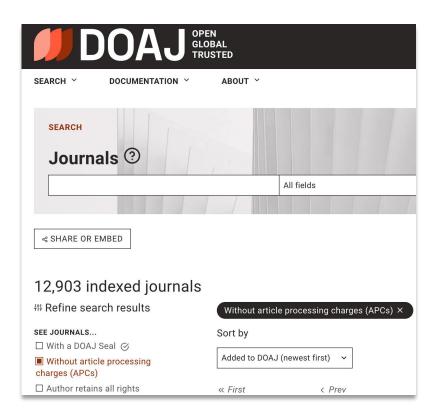
Free for readers, authors pay a fee

- Author are charged a publication fee after acceptance of the article (Article Processing Charge : APC)
- The cost of APCs varies considerably depending on the publisher and the journal
 - Median APC: 2000 EUR (OpenAPC, Dec 2023)
 - Acta Palaeontologica Polonica 22 EUR
 - Cell Genomics: 7960 EUR





How to find a Diamond OA journal





www.doaj.org

List of Swiss Platinum OA Journals v3.0





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 - SCOAP3 Initiative based at CERN to convert core high energy physics journals to OA
 - SciPost: Physics & Chemistry; grassroots iniative; funded by academic institution

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How to pay the APC

Is the publication an outcome of a SNSF-funded project?

- The SNSF offers funding for articles in <u>pure</u> OA journals.
- Funding is independent from the project budget and application can be submitted at any time, even after the project has ended.
- Guidelines: https://oa100.snf.ch/en/funding/journal-articles/



Open Access agreements (Gold OA journals)

- The library has agreements about free OA publishing with several publishers of full OA journals
- APCs are paid by the library. Authors are not charged for their articles.
- Requirements: corresponding/submitting author has to be (co-)affiliated with Eawag/Empa/WSL/PSI.
- Mind the gap! Eligible journals, eligible article types, article quota, workflow...
- Further information, lists of eligible journals, workflow, eligibility criteria: https://www.lib4ri.ch/open-access-agreements

Lib4RI has agreements with the following OA publishers (pure OA journals):

- Copernicus
- o Frontiers
- MDPI
- ACS
- Elsevier
- o IEEE
- IOP Publishing
- o IWA
- Royal Society
- o SAGE



Open Access Publication Fund

The library pays Open Access fees for publications in full Open Access journals.

Funding conditions:

- The corresponding author must have a (co-)affiliation with Eawag/Empa/PSI/WSL on the article.
- Only pure OA journals, see <u>Directory of Open Access Journals (DOAJ)</u>. [no hybrid OA journals]
- The article is published under a Creative Commons licence (preferably CC-BY).
- Articles will be considered only if there is no other source of funding available. We expect researchers to request funding for OA publications from their funding agency if they can do so (SNSF!).
- The article processing charges for publications may not exceed CHF 2`500 per article (excl. VAT).
- Do not pay the APC! Financing your publication is only possible if the invoice still has to be paid, there will be no retrospective payments or reimbursements.
- Further information: https://www.lib4ri.ch/open-access-fund



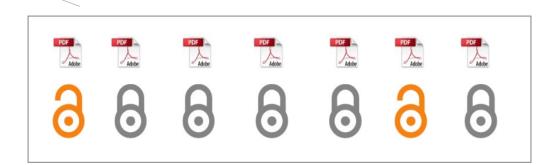


Hybrid Open Access Journals



Hybrid Open Access Journals

- Subscription journals with an Open Access option for individual articles
- Authors decide if they want to make their articles OA (for a fee)
- Most subscription journals offer an OA option (Springer Open Choice, Wiley Online Open ...)
- Mixed Content: Closed Access and OA articles in one journal





Read & Publish Agreements

Agreements cover reading access +
free Open Access publishing for a
single flat fee paid by the library.

Lib4RI covers the APCs at the following publishers:

- ACS (SNSF funded papers excluded)
- o AIP
- APS
- Cambridge University Press
- Elsevier
- o IOP
- Microbiology Society
- Nature (quota allready exceeded)
- Royal Society
- Royal Society of Chemistry
- Sage
- Springer
- Oxford University Press
- Wiley (quota will be used uped soon)





Read & Publish Agreements: Conditions for free OA



The corresponding author is affiliated with Eawag/Empa/PSI/WSL and mentions it at the time of submission/acceptance



the journal is included in the list of titles covered by the agreement



the article type is included in the agreement (e.g. editorials or commentaries are generally not included)



the agreement is in force at the time acceptance of the article



the swiss annual article quota is not yet used up (at acceptance of article)





the corresponding author chooses the Open Access option and a licence (preferably CC-BY)

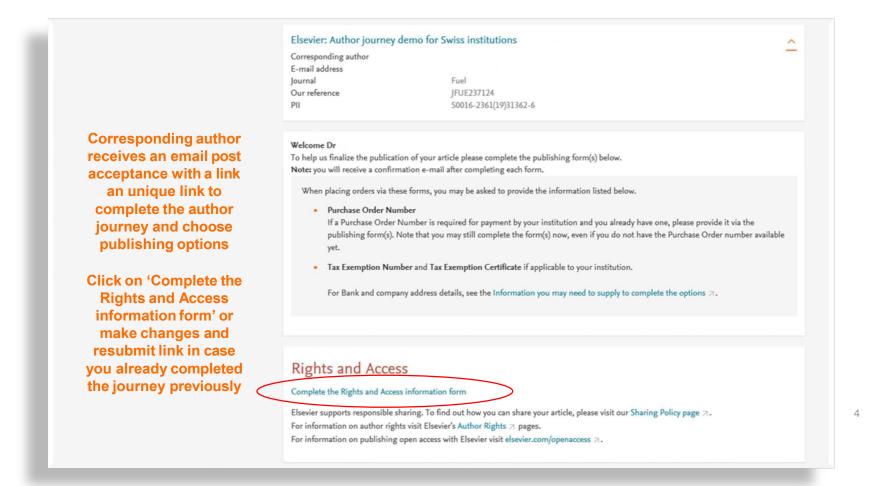
Detailed information for each publisher on our website: https://www.lib4ri.ch/open-access-agreements



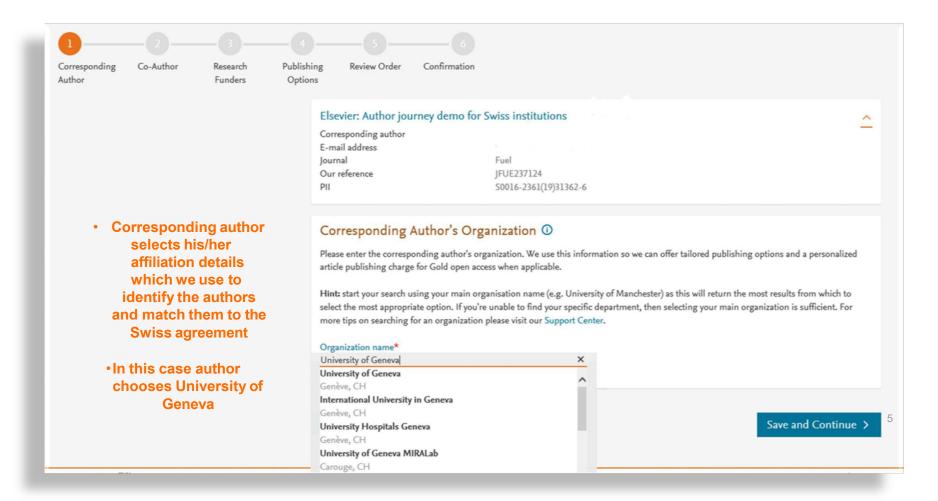
Read & Publish Agreements: Workflow for Authors

- Submit your manuscript using your institutional e-mail address
- After acceptance:
 - Identify yourself as a member of Eawag/Empa/PSI/WSL (select your affiliation, e-mail, IP)
 - Select Open Access for your paper
 - Select a Creative Commons license for your paper (CC BY, CC BY-NC, etc.)
 - Highly recommended: CC BY = Creative Commons Attribution 4.0
- Publisher and library handle the invoice process / APC approval
- More details for workflows at each publisher: https://www.lib4ri.ch/open-access-agreements

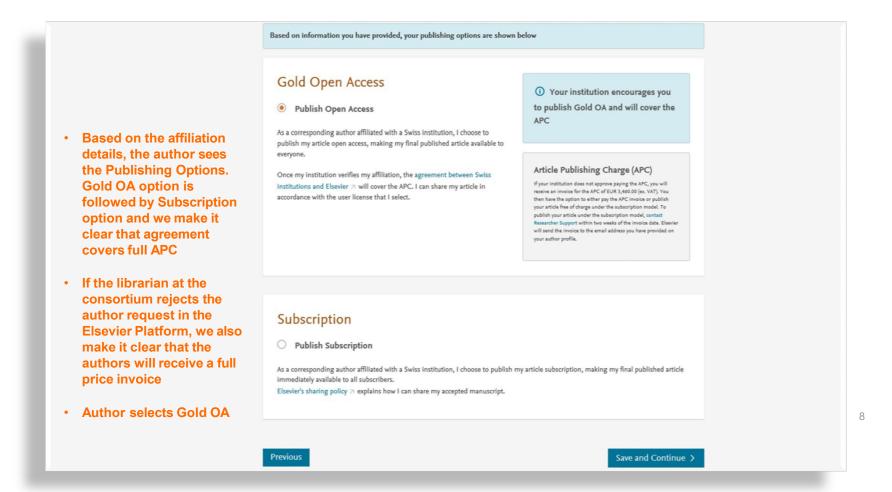




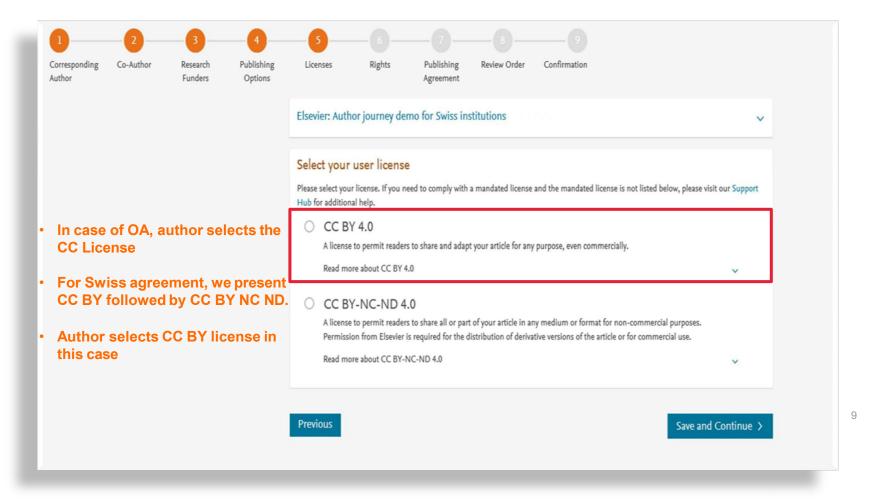














But what can you do

... if there is no suitable pure Open Access journal for your paper?

... if there is no Read & Publish deal for the journal you selected?

... if you are not the corresponding author of the paper?





Green Road to Open Access



Green Open Access

Publisher Website: Closed Access



Institutional Repository: Open Access







Green Open Access - Copyright

- Subscription publishers usually require authors to transfer copyright or grant them an exclusive license to publish their work.
- But: They also usually allow some sort of self-archiving:
 - After a certain period of time after publication (embargo)
 - Most publishers allow self-archiving for the accepted manuscript version but not for the final published version



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Title and Company (if employer representative):





Green Open Access - Conditions

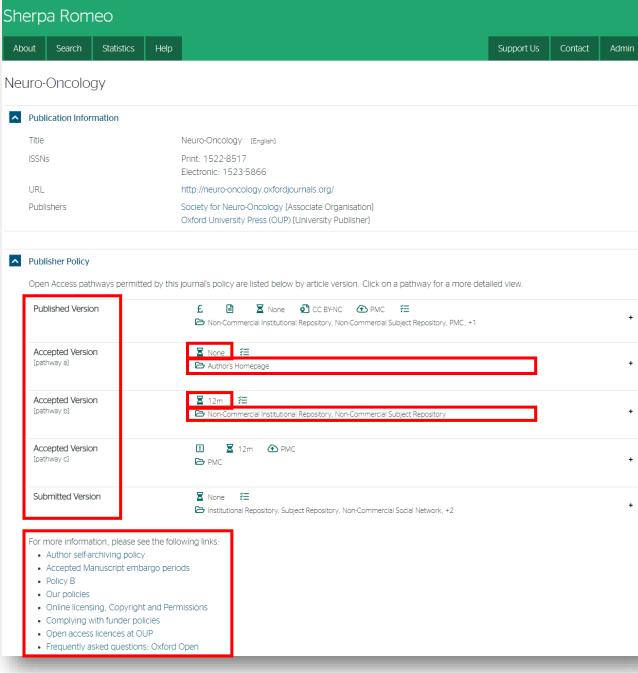
Main conditions/restrictions for self-archiving:

- Where? Inst. Repository (DORA) | Pers. Homepage | Research Gate ...
- When? Immediately | After embargo period of 3-60 months
- Which version? Submitted version | Accepted version | Published version

Where to look up the regulations for self-archiving?

- Copyright transfer agreement / publishing contract / license to publish
- Publisher/journal website
- SHERPA/RoMEO Database: http://www.sherpa.ac.uk/romeo





Conditions for different versions of the article

Where you can self-archive

Embargo period

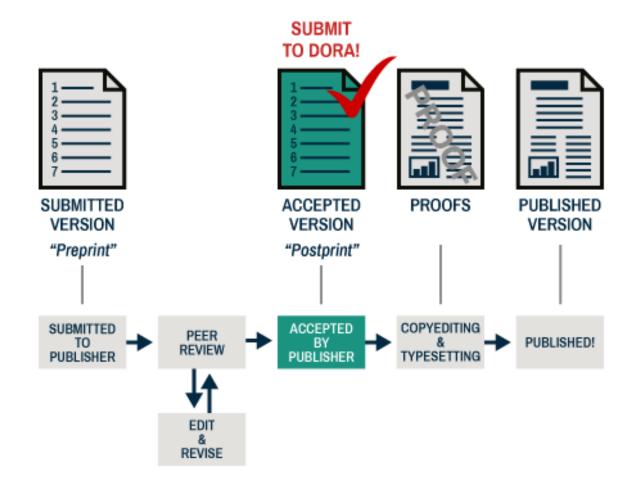
Detailed information on publisher website

http://www.sherpa.ac.uk/romeo





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Full service for Green OA at Lib4RI

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How to submit the accepted version:

a together with the published version via web form (if the article is not yet indexed in DORA)

via email to dora@lib4ri.ch

via the feedback section
(if the article is already included in DORA)

- o if published version is not OA
- might need to contact the corresponding author to get the accepted version
- o preferably immediately after publication
- also for any journal article already in DORA
- o content of accepted version = content of published version
- o remove any comments, highlights, reviewer comments

We take care of the rest:

- o add a citation to the final published version
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Feedback:

171

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in models.

Suggest a Correction

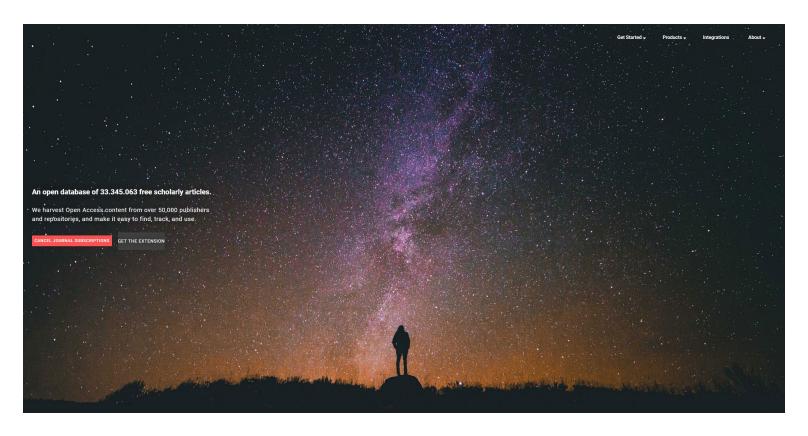
Add a DOL for Research Data

titutes within the ETH Domain: Eawag, Empa, PSI & WSL





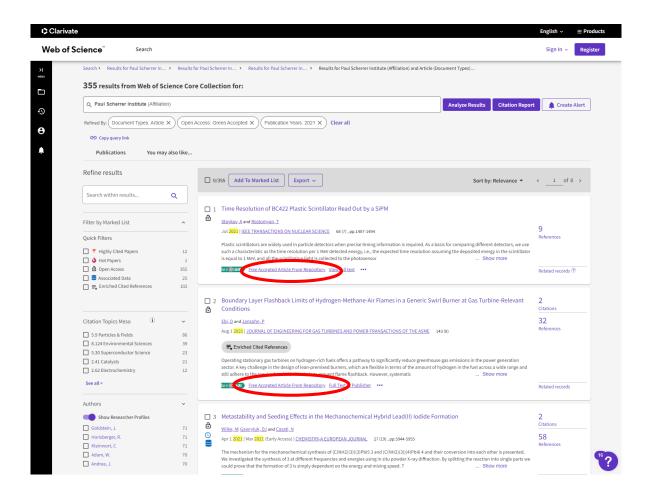
Unpaywall https://unpaywall.org/



Database collects links to free versions of articles in repositories etc.



Unpaywall integration in Web of Science, Scopus, ...





The State of Open Access

swissuniversities



Swiss National Strategy on Open Access

Preamble

In a letter dated 4 December 2015, the State Secretariat for Education, Research and Innovation (hereinafter SERI) commissioned swissuniversities to elaborate, with the support of the Swiss National Science Foundation

(SNSF), a national strategy for Open A the need for

- Promotion of OA as an overridi
- Cost transparency for public fur
- Coordination among stakeholds

This national OA strategy was formula adopted by the plenary assembly of swis

Why Open Access to publication

Revolutionary developments in information scientific culture characterised by its o

fully exploited if everyone has free access without any restrictions.

resources and disseminate results"1 is of and opening up of science and resea adopted worldwide, reflecting the fact that publicly funded research results are a public good which can only be

OA initiatives started mainly as a reaction of scientific communities to the unsustainable and cost-increasing developments in the scientific publishing landscape. Over the last few decades, subscription costs have soared and profit-oriented publishing houses have played a dominant role in the publication and dissemination of scientific works². Their position is based on the fact that researchers appreciate their content, work for them as authors, reviewers and editors and often feel obliged to publish their works with them - all based on the current evaluation and reputation mechanisms - in order to maintain optimal career chances. As a consequence, most of the publicly funded research is locked behind a paywall.

All over the world, OA initiatives offer a chance of providing broad-based open access to research results, bringing research back to the scientists and to the public that funds it. They also represent an opportunity to point out flaws and consequences of the current evaluation and reputation mechanisms in science. Research funding

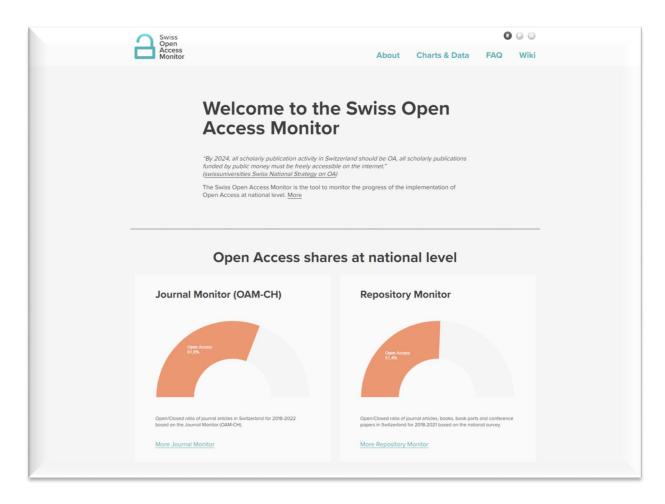
4. Vision

Taking account of the principles expressed above, the Swiss National Strategy on OA aims to achieve the following objective, in accordance with international benchmarks: by 2024, all scholarly publication activity in Switzerland should be OA, all scholarly publications funded by public money must be freely accessible on the internet. The OA landscape will consist of a mix of OA models.





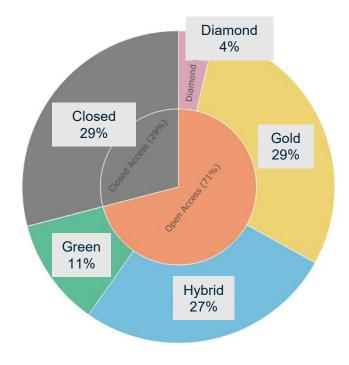
Swiss Open Access Monitor



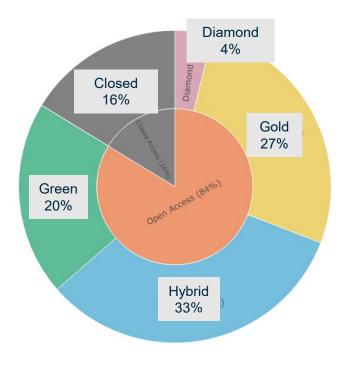


Journal Articles 2021-2023

Switzerland



Eawag, Empa, PSI & WSL





State of Open Access at the 4RI

| | DORA Eawag | DORA Empa | DORA PSI ¹ | DORA WSL | Total |
|--------------------------|------------|------------|-----------------------|------------|--------------|
| Journal Articles in 2024 | 393 | 669 | 1 088 | 627 | 2 777 |
| Open Access | 334 | 561 | 884 | 509 | 2 288 |
| | 85.0% | 83.9% | 81.3% | 81.2% | 82.4% |
| With embargo | 32 | 52 | 84 | 54 | 222 |
| | 8.1% | 7.8% | 7.7% | 8.6% | 8.0% |
| Restricted | 27 | 56 | 120 | 64 | 267 |
| | 6.9% | 8.4% | 11.0% | 10.2% | 9.6% |

Source: DORA > About https://www.dora.lib4ri.ch/about



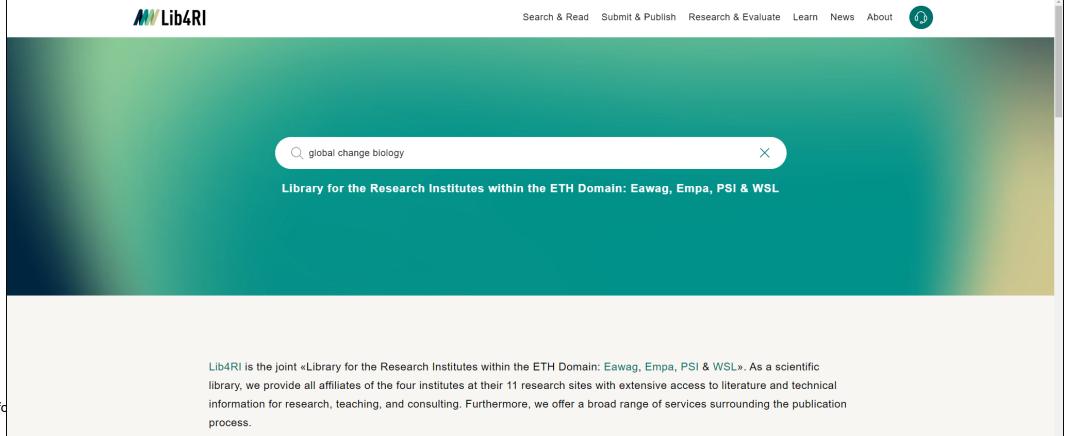


Summary



Learn about your options for Open Access

https://www.lib4ri.ch -> search for journal



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Version

global change biology

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Website

Switch to tab «Journals»

Journal articles, etc.

Scopus

- Kumar N et al. Reverse engineering morphogenesis through Bayesian optimization of physics-based models. Npj Systems Biology and Applications 2024. https://doi.org/10.1038/s41540-024-00375-z.
- Serra Moncadas L et al. Freshwater genome-reduced bacteria exhibit pervasive episodes of adaptive stasis. Nature Communications 2024. https://doi.org/10.1038/s 41467-024-47767-7.
- O Gomes-dos-Santos A et al. The transcriptome assembly of the European freshwater mussel Unio elongatulus C. Pfeiffer, 1825. Scientific Data 2024. https://doi.org/10.1 038/s41597-024-03226-y.
- O Ramakrishnan S et al. Race-specific coregulatory and transcriptomic profiles associated with DNA methylation and androgen receptor in prostate cancer. Genome Medicine 2024. https://doi.org/10.1186/s13073-024-013 23-6
- Ragland CJ et al. Choreographing root architecture and rhizosphere interactions through synthetic biology. Nature Communications 2024. https://doi.org/10.1038/s 41467-024-45272-5
- Garrigós M et al. Avian Plasmodium in invasive and native mosquitoes from southern Spain. Parasites and Vectors 2024. https://doi.org/10.1186/s13071-024-0613 3-8.
- O Varliero G et al. Biogeographic survey of soil bacterial communities across Antarctica. Microbiome 2024. https://doi.org/10.1186/s40168-023-01719-3

Books etc.

swisscovery Lib4RI

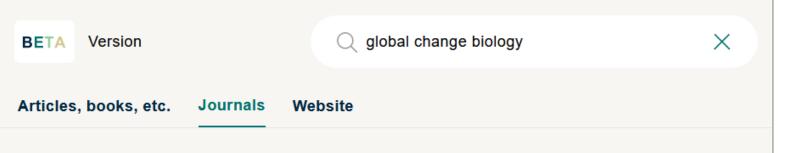
- Chen H et al. Methane emissions from unique wetlands in China: case studies, meta analyses, and modelling. Walter de Gruyter GmbH & Co. KG, in collaboration with Higher Education Press; 2015.
- Education in Global Change Project E in GCP. Science for understanding tomorrow's world: Global change student acitvities and teacher's guide for courses in biology, chemistry, earth sciences, physics and general studies. International Council of Scientific Unions: 1994
- Wardle DA. Communities and ecosystems: linking the aboveground and belowground components. Princeton University Press: 2002.
- Pearson LC. The diversity and evolution of plants. Taylor & Francis Group, LLC; 1995.
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- Kareiva PM et al. Biotic interactions and global change 1993.

Institutional repo

DORA Eawag

- Jansen MA et of UV radiatio Protocol, Glob 0.1111/gcb.17
- Jansen MA et context of UV Montreal Prot Assessment F Photobiologic s43630-024-0
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- Shovon TA et diversity on p drought morta Global Chang b.17252.
- Choo L et al. cryptic specia calcifier Lima https://doi.org
- Fabrice Amisi forward for loa





Journals: **OA** information

Lib4RI's online journals

close all

close -

Filter b

GCB Bioenergy

Available online (2009-)

Publisher: Wiley

Publication Period: 2009-

ISSN : 1757-1693 E-ISSN: 1757-1707

Peer Reviewed

Journal Impact Factor: 5.6 (details)

Gold Open Access (details)

- APC required: up to 2750 USD (details)
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Global change biology

Available online (1997-)

Publisher: Wiley

Publication Period: 1995-

ISSN : 1354-1013 E-ISSN: 1365-2486

Peer Reviewed

Journal Impact Factor: 11.6 (details)

Lib4RI Open Access Agreement (details)

- Full APC Funding
- Article quota is expected to be reached by November 2024
- License: CC BY 4.0 (recommended), CC BY-NC 4.0 or CC BY-NC-ND 4.0

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- Accepted Version
 - O Embargo: 12 Months

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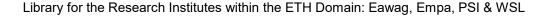
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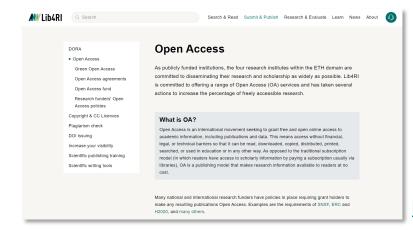


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