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# Open Access

Dr. Jochen Bihn







### Background

- How to make your publications Open Access
  - Gold Open Access Journals
  - Hybrid Open Access Journals
  - Green Road to Open Access
- •The State of Open Access
- Summary

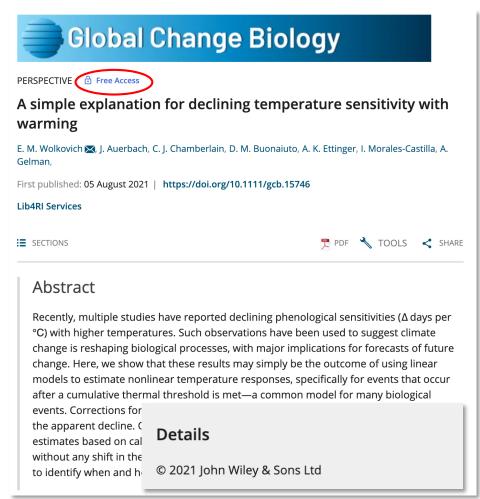


### What is Open Access?

- Open Access is the practice of providing online access to scientific information that is free of charge to the reader and free of most copyright and licensing restrictions. 182
- Open Access is a kind of access, not a kind of business model, license, or content.<sup>2</sup>

- (1) <a href="https://openaccess.mpg.de/2076881/2014\_05-h2020-factsheet-open-access-faq.pdf">https://openaccess.mpg.de/2076881/2014\_05-h2020-factsheet-open-access-faq.pdf</a>
- (2) http://legacy.earlham.edu/~peters/fos/overview.htm





### Free Access:

- free to read
- reuse only with permission



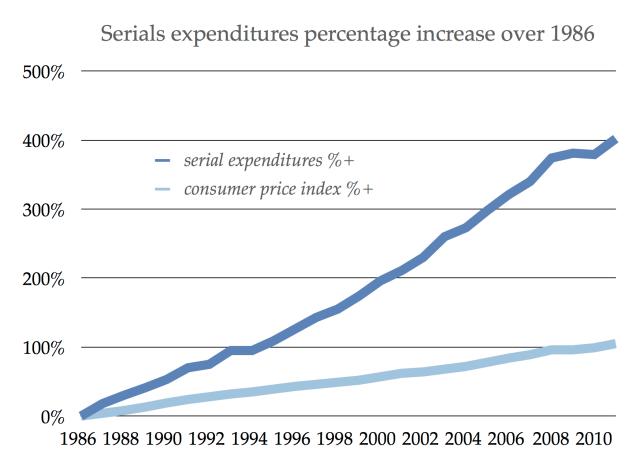
### **Open Access:**

- free to read
- reuse possible without permission





# **Origins of Open Access: The Serials Crisis**

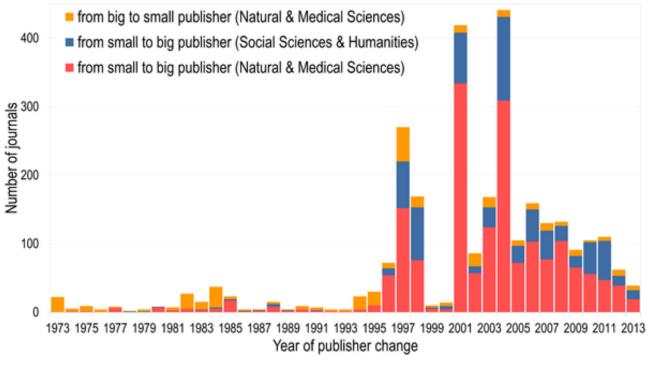


Adapted from Stuart Shieber: Why open access is better for scholarly societies, licensed under a Creative Commons Attribution 3.0 Unported License.





## **Big Fish eats Little Fish**



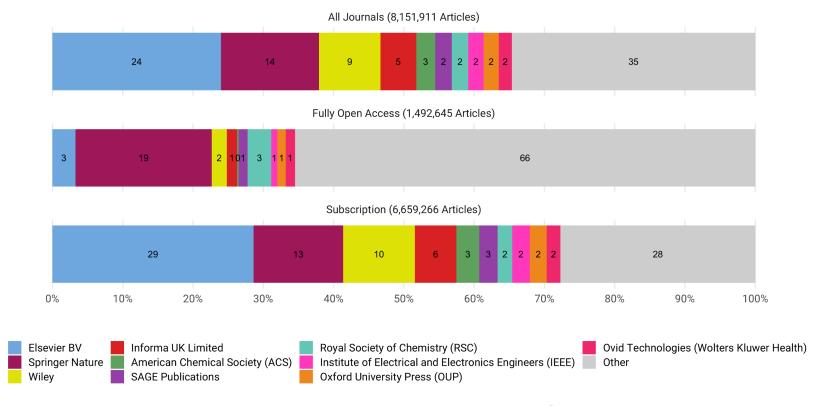
Number of journals changing from small to big publishers, and big to small publishers per year of change.

Adapted from: Larivière V, Haustein S, Mongeon P (2015) The Oligopoly of Academic Publishers in the Digital Era. PLOS ONE 10(6): e0127502. https://doi.org/10.1371/journal.pone.0127502; licensed under a Creative Commons Attribution License.





### **Publisher Market Share 2014-2018**

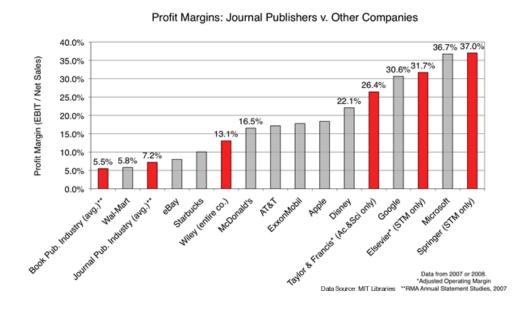


The global publishing landscape is dominated by a few large publishers.





## **The Academic Publishing Market**



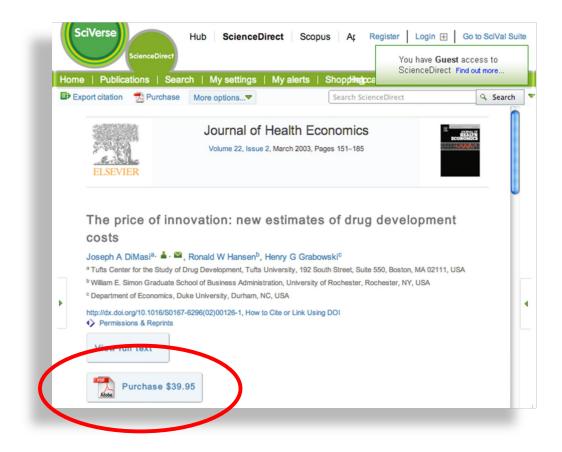
- oligopolistic conditions
- dysfunctional market
- huge profit margins

"Publishing obscure academic journals is that rare thing in the media industry: a license to print money." (The Economist, 14 April 2012)





### The Access Problem



- Articles are only accessible to a fraction of potential users.
- Research is having only a fraction of its potential use and impact.
- Research is achieving only a fraction of its potential productivity and progress.



## Some Benefits of Open Access ...



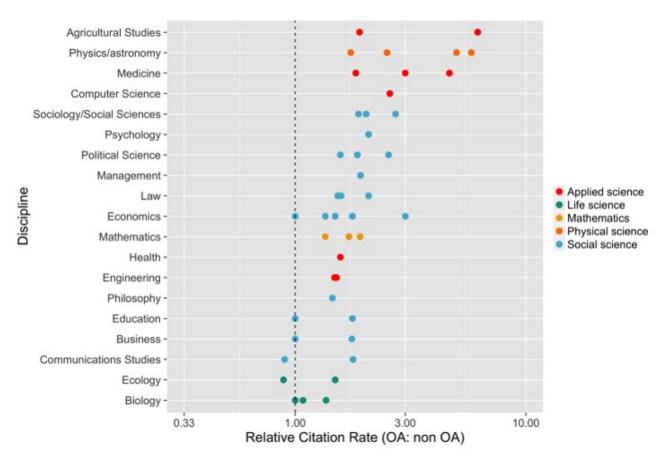
... even if in the end OA turns out not to be cheaper

Source: Karolinska Institutet, University Library: Open Access, adapted from Benefits of Open Access, Danny Kingsley & Sarah Brown, CC-BY.





## **Open Access articles get more citations**



Source: McKiernan et al. 2016: How open science helps researchers succeed. eLife 5, e16800, http://dx.doi.org/10.7554/eLife.16800, CC-BY.





# How to publish Open Access

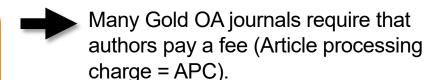




## 3 Types of Journals

#### Gold

All articles are freely accessible for all on the journal website



### **Hybrid**

Some articles are freely accessible, others are pay per view/subscribers only

Hybrid OA journals offer the option to publish Open Access for a fee during submission or/and acceptation.

### **Subscription**

All articles are pay per view or accessible for subscribers only

You will have to use the green route to Open Access and make your article freely available in DORA.



# Gold Open Access Journals



### **Open Access Journals**

- All articles are immediately published Open Access
- Authors usually retain copyright and articles are published under <u>Creative Commons Attribution</u> <u>License</u>.
- Publisher may be commercial, non-profit, or societies.
- Most are peer reviewed.
- OA journals earn respectability the same way other journals do: through the quality of their articles and the prominence of the people they attract as authors, editors, etc.

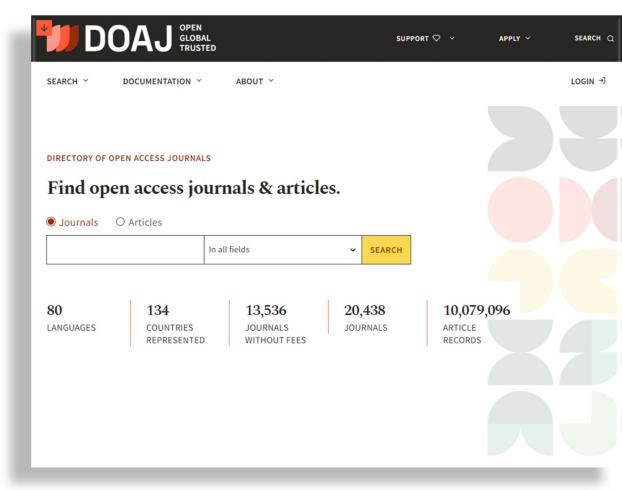


ROYAL SOCIETY



# Directory of Open Access Journals <a href="www.doaj.org">www.doaj.org</a>

- > 20000 OA-Journals
- Whitelist for OA journals (see: <u>criteria for inclusion</u>)
- Not in DOAJ?
  - "Hybrid OA journal"
  - Very new journal
  - Low quality OA journals

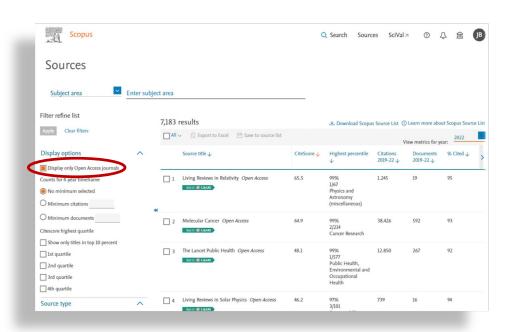




### **OA Journals in Scopus and Web of Science**

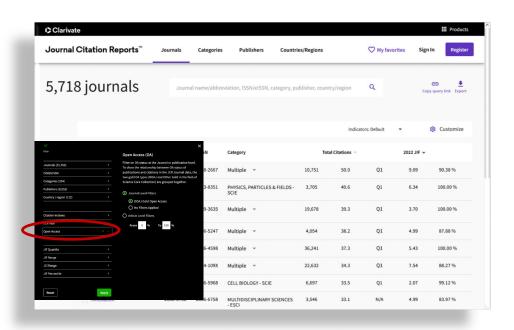
### Scopus

- > 7000 OA Journals indexed
- Scopus > Sources: https://www.scopus.com/sources.uri



#### **Web of Science**

- > 5500 OA Journals indexed
- Journal Citation Reports > Journals: <a href="https://jcr.clarivate.com/jcr/browse-journals">https://jcr.clarivate.com/jcr/browse-journals</a>







## How to assess the quality of OA Journals

- Included in DOAJ?
- Read some articles in the journal!
- Have a look at the journal's website!
- Have a look at the editorial board!
- Is the publisher a member of <u>OASPA</u> (Open Access Scholarly Publishers Association) or <u>COPE</u> (Committee on Publication Ethics)?
- More tips:
   <u>Think. Check. Submit.</u>

   Open Access Journal Quality Indicators



# Are you submitting your research to a trusted journal?

Publishing your research results is key to **advancing your discipline** – and your **career** – but with so many journals in your field, how can you be sure that you're choosing a **reputable**, **trustworthy** journal?



Tips to **confirm** a journal's credentials and decide if it will help you **reach** the right audience with your research, and make an **impact** on your career.

Take control of your career at **thinkchecksubmit.org** 

Do not trust «blacklists» of predatory journals. Use critical thinking.





## **Business Models of Gold Open Access Journals**

#### Neither authors nor readers pay any fees

- Costs of publishing are funded by an academic institution, society, government etc.
- Publication model is called Diamond Open Access (or Platinum Open Access)
- Most journals are community-driven, academic-led, and academic-owned publishing initiatives
- less present in the physical sciences & technology compared to social sciences and arts & humanities
- Examples:
  - SCOAP3 Initiative based at CERN to convert core high energy physics journals to OA
  - SciPost: Physics & Chemistry; grassroots iniative; funded by academic institution

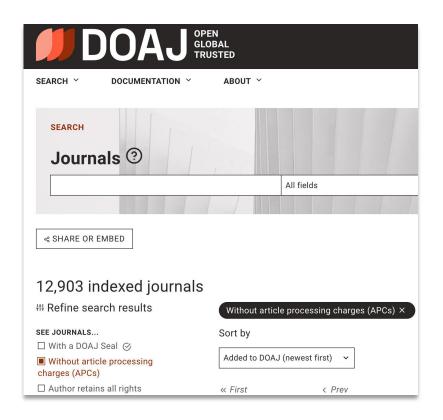
#### Free for readers, authors pay a fee

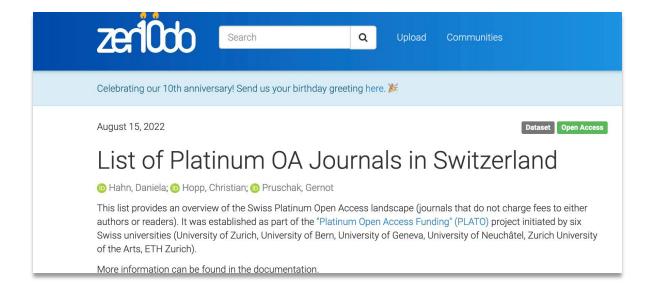
- Author are charged a publication fee after acceptance of the article (Article Processing Charge : APC)
- The cost of APCs varies considerably depending on the publisher and the journal
  - Median APC: 2000 EUR (OpenAPC, Dec 2023)
  - Acta Palaeontologica Polonica 22 EUR
  - Cell Genomics: 7960 EUR





## How to find a Diamond OA journal





www.doaj.org

List of Swiss Platinum OA Journals v3.0





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## How to pay the APC

Is the publication an outcome of a SNSF-funded project?

- The SNSF offers funding for articles in <u>pure</u> OA journals.
- Funding is independent from the project budget and application can be submitted at any time, even after the project has ended.
- Guidelines: <a href="https://oa100.snf.ch/en/funding/journal-articles/">https://oa100.snf.ch/en/funding/journal-articles/</a>



# Open Access agreements (Gold OA journals)

- The library has agreements about free OA publishing with several publishers of full OA journals
- APCs are paid by the library. Authors are not charged for their articles.
- Requirements: corresponding/submitting author has to be (co-)affiliated with Eawag/Empa/WSL/PSI.
- Mind the gap! Eligible journals, eligible article types, article quota, workflow...
- Further information, lists of eligible journals, workflow, eligibility criteria: https://www.lib4ri.ch/open-access-agreements

Lib4RI has agreements with the following OA publishers (pure OA journals):

- Copernicus
- o Frontiers
- MDPI
- ACS
- Elsevier
- o IEEE
- IOP Publishing
- o IWA
- Royal Society
- SAGE



## **Open Access Publication Fund**

The library pays Open Access fees for publications in full Open Access journals.

#### **Funding conditions:**

- The corresponding author must have a (co-)affiliation with Eawag/Empa/PSI/WSL on the article.
- Only pure OA journals, see <u>Directory of Open Access Journals (DOAJ)</u>. [no hybrid OA journals]
- The article is published under a <u>Creative Commons licence</u> (preferably <u>CC-BY</u>).
- Articles will be considered only if there is no other source of funding available. We expect researchers to request funding for OA publications from their funding agency if they can do so (SNSF!).
- The article processing charges for publications may not exceed CHF 2`500 per article (excl. VAT).
- Do not pay the APC! Financing your publication is only possible if the invoice still has to be paid, there will be no retrospective payments or reimbursements.
- Further information: <a href="https://www.lib4ri.ch/open-access-fund">https://www.lib4ri.ch/open-access-fund</a>



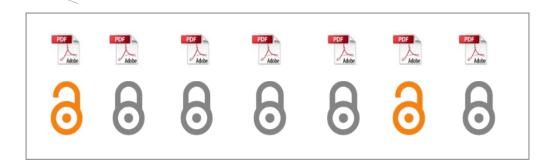


# Hybrid Open Access Journals



### **Hybrid Open Access Journals**

- Subscription journals with an Open Access option for individual articles
- Authors decide if they want to make their articles OA (for a fee)
- Most subscription journals offer an OA option (Springer Open Choice, Wiley Online Open ...)
- Mixed Content: Closed Access and OA articles in one journal





## **Read & Publish Agreements**

Agreements cover reading access +
free Open Access publishing for a
single flat fee paid by the library.

#### Lib4RI covers the APCs at the following publishers:

- ACS (SNSF funded papers excluded)
- AIP
- APS
- Cambridge University Press
- Elsevier
- o IOP
- Microbiology Society
- Nature (quota will soon be exceeded)
- Royal Society
- Royal Society of Chemistry
- Sage
- Springer
- Oxford University Press
- Wiley





# Read & Publish Agreements: Conditions for free OA



The corresponding author is affiliated with Eawag/Empa/PSI/WSL and mentions it at the time of submission/acceptance



the journal is included in the list of titles covered by the agreement



the article type is included in the agreement (e.g. editorials or commentaries are generally not included)



the agreement is in force at the time acceptance of the article



the swiss annual article quota is not yet used up (at acceptance of article)





the corresponding author chooses the Open Access option and a licence (preferably CC-BY)

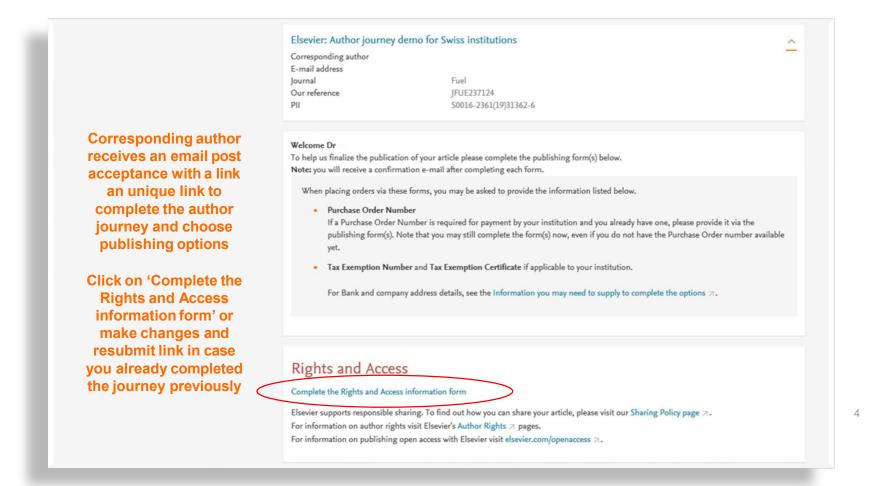
Detailed information for each publisher on our website: <a href="https://www.lib4ri.ch/open-access-agreements">https://www.lib4ri.ch/open-access-agreements</a>



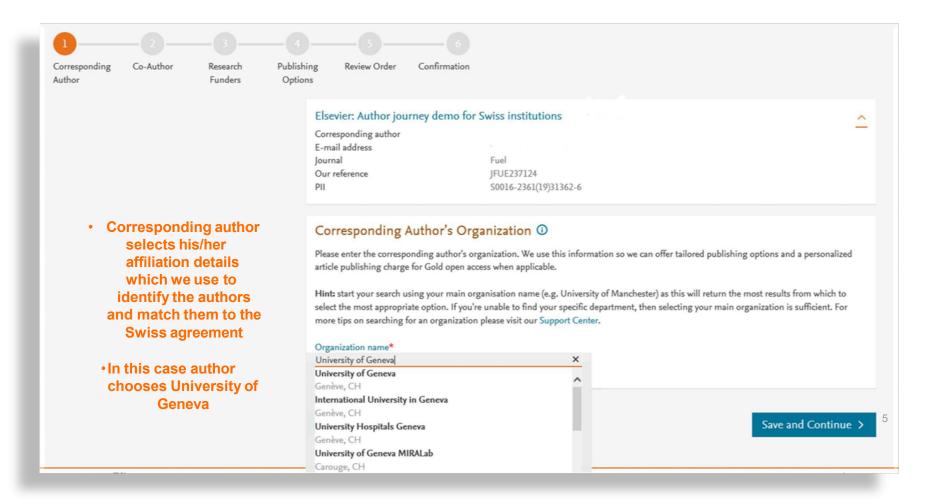
## Read & Publish Agreements: Workflow for Authors

- Submit your manuscript using your institutional e-mail address
- After acceptance:
  - Identify yourself as a member of Eawag/Empa/PSI/WSL (select your affiliation, e-mail, IP)
  - Select Open Access for your paper
  - Select a Creative Commons license for your paper (CC BY, CC BY-NC, etc.)
    - Highly recommended: CC BY = Creative Commons Attribution 4.0
- Publisher and library handle the invoice process / APC approval
- More details for workflows at each publisher: <a href="https://www.lib4ri.ch/open-access-agreements">https://www.lib4ri.ch/open-access-agreements</a>

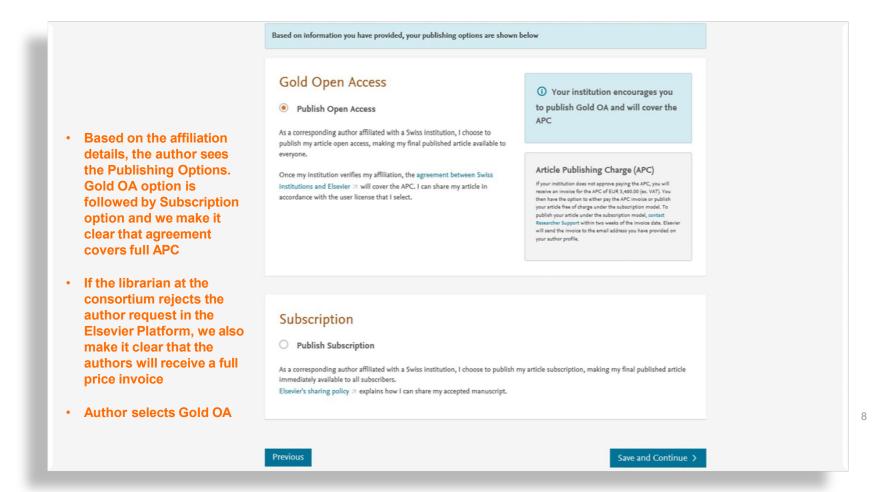




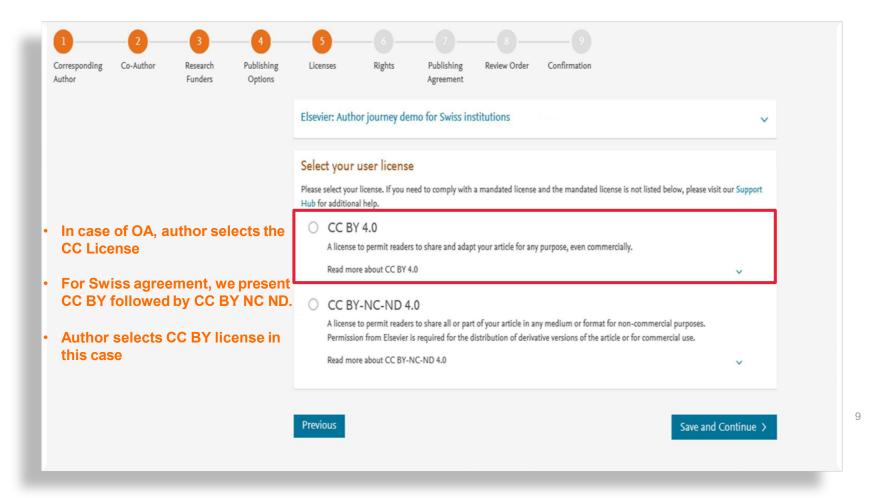














### But what can you do

... if there is no suitable pure Open Access journal for your paper?

... if there is no Read & Publish deal for the journal you selected?

... if you are not the corresponding author of the paper?





# **Green Road to Open Access**



### **Green Open Access**

#### **Publisher Website: Closed Access**



### **Institutional Repository: Open Access**







## **Green Open Access - Copyright**

- Subscription publishers usually require authors to transfer copyright or grant them an exclusive license to publish their work.
- But: They also usually allow some sort of self-archiving:
  - After a certain period of time after publication (embargo)
  - Most publishers allow self-archiving for the accepted manuscript version but not for the final published version



#### ACREMENT IN DELICTION TO CODUDICIT IN AN ARTICLE FOR A TAVI OR & VRANCIS/BOLITI PROF. IOLIDNAL

In order to ensure both the widest dissemination and protection of material published in our Journal, we ask Authors to transfer to the Publishe Taylor & Francis, the rights of copyright in the Articles they contribute. This enables Taylor & Francis to ensure protection against infiningemen The transfer of copyright must be clearly stated in writing.

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Article (the "Article") entitled

#### Scholarly Sharing

Preprint: Sharing of Preprints by an author on any website or repository at any time. When the Article is accepted, the author is encouraged to include a link to the formal publication through the relevant DOI. The author can also update the Preprint on arXiv or RePEc with the Accepted Massuscript.

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- for private scholarly sharing as part of an invitation-only work group on commercial sites with which the publisher has a hosting agreement
- (ii) after the embargo period: an author may share the Accepted Manuscript via non-commercial hosting platforms (such as the author's institutional repository) and via commercial sites with which the publisher has a hosting agreement.

To check the embargo period for the journal, go to <a href="http://www.elsevier.com/embargoperiodlist">http://www.elsevier.com/embargoperiodlist</a>

The publisher has agreements with certain funding agencies that may permit shorter embargo periods and/or different sharing guidelines. To learn more about the publisher's policies and agreements with such agencies or institutions go to http://www.ebsevier.com/fundingbody-agreements.

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# **Green Open Access - Conditions**

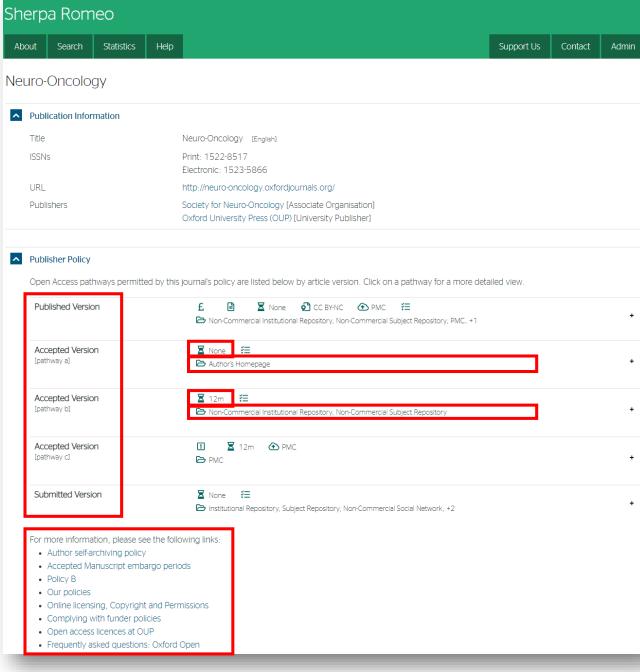
## Main conditions/restrictions for self-archiving:

- Where? Inst. Repository (DORA) | Pers. Homepage | Research Gate ...
- When? Immediately | After embargo period of 3-60 months
- Which version? Submitted version | Accepted version | Published version

## Where to look up the regulations for self-archiving?

- Copyright transfer agreement / publishing contract / license to publish
- Publisher/journal website
- SHERPA/RoMEO Database: <a href="http://www.sherpa.ac.uk/romeo">http://www.sherpa.ac.uk/romeo</a>





Conditions for different versions of the article

Where you can self-archive

Embargo period

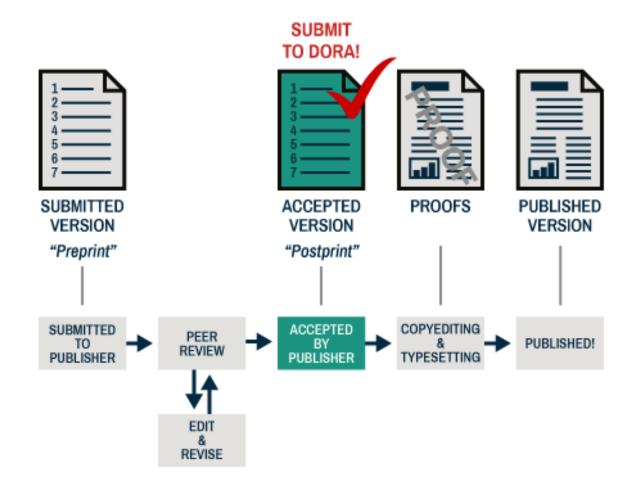
Detailed information on publisher website

http://www.sherpa.ac.uk/romeo





# Submit the accepted version of your articles to DORA!





## Full service for Green OA at Lib4RI

Browse | WSL Authors | Add Publication Advanced Searc States Fulltext: Published Version N, Peters Intranet Only Links: ✓ View at Publisher (DOI) ne impacts gy, 22(7), ✓ View at Web of Science ☑ View at Scopus Citation Counts: ve identify Web of Science: 163 eractions ern US, the Scopus: 162 islated to Crossref: 171 ern forests in drought. Altmetrics:

How to submit the accepted version:

a together with the published version via web form (if the article is not yet indexed in DORA)

via email to dora@lib4ri.ch

via the feedback section
(if the article is already included in DORA)

- o if published version is not OA
- might need to contact the corresponding author to get the accepted version
- o preferably immediately after publication
- also for any journal article already in DORA
- o content of accepted version = content of published version
- o remove any comments, highlights, reviewer comments

We take care of the rest:

- o add a citation to the final published version
- o add a license, if necessary
- o apply embargo period, if needed
- o conversion into PDF/A

Feedback:

171

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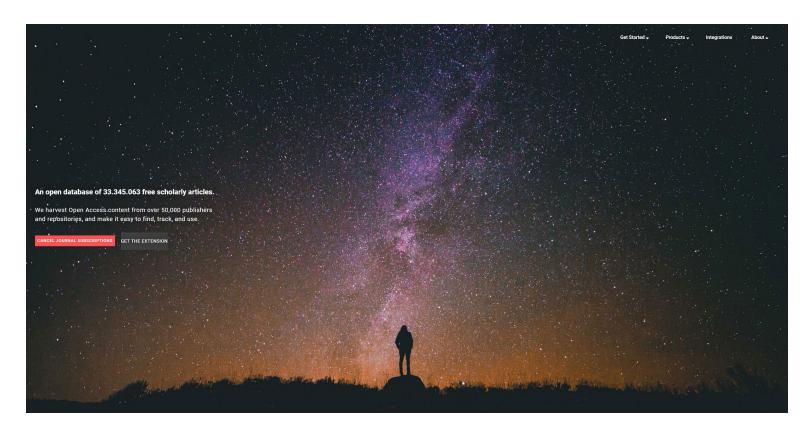
Add a DOL for Research Data litutes

titutes within the ETH Domain: Eawag, Empa, PSI & WSL





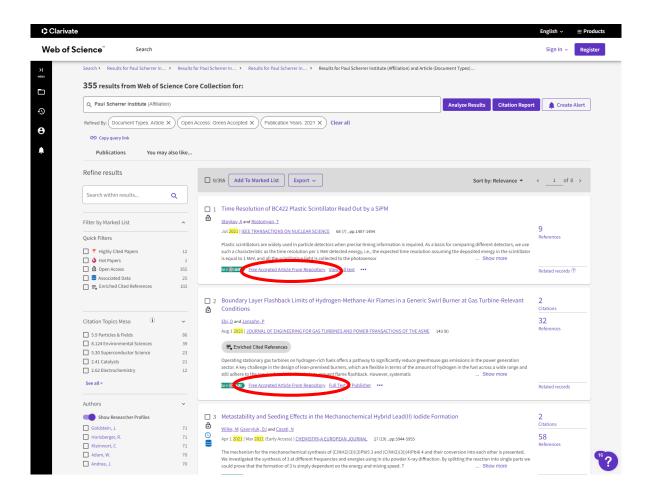
# Unpaywall <a href="https://unpaywall.org/">https://unpaywall.org/</a>



Database collects links to free versions of articles in repositories etc.



# Unpaywall integration in Web of Science, Scopus, ...





# The State of Open Access

## swissuniversities



## Swiss National Strategy on Open Access

### Preamble

In a <u>letter</u> dated 4 December 2015, the State Secretariat for Education, Research and Innovation (hereinafter SERI) commissioned swissuniversities to elaborate, with the support of the Swiss National Science Foundation

(SNSF), a national strategy for Open Arthe need for:

- Promotion of OA as an overridi
- Cost transparency for public fur
- Coordination among stakeholde

This national OA strategy was formulal adopted by the plenary assembly of swis

## Why Open Access to publication

Revolutionary developments in information scientific culture characterised by its of resources and disseminate results 1 is contained and opening up of science and research

4. Vision

Taking account of the principles expressed above, the Swiss National Strategy on OA aims to achieve the following objective, in accordance with international benchmarks: **by 2024, all scholarly publication activity in Switzerland should be OA**, all scholarly publications funded by public money must be freely accessible on the internet. The OA landscape will consist of a mix of OA models.

adopted worldwide, reflecting the fact that publicly funded research results are a public good which can only be fully exploited if everyone has free access without any restrictions.

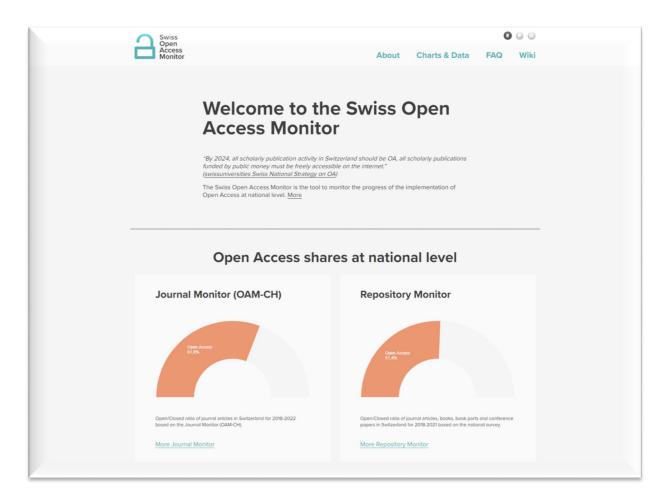
OA initiatives started mainly as a reaction of scientific communities to the unsustainable and cost-increasing developments in the scientific publishing landscape. Over the last few decades, subscription costs have soared and profit-oriented publishing houses have played a dominant role in the publication and dissemination of scientific works<sup>2</sup>. Their position is based on the fact that researchers appreciate their content, work for them as authors, reviewers and editors and often feel obliged to publish their works with them - all based on the current evaluation and reputation mechanisms - in order to maintain optimal career chances. As a consequence, most of the publicly funded research is locked behind a paywall.

All over the world, OA initiatives offer a chance of providing broad-based open access to research results, bringing research back to the scientists and to the public that funds it. They also represent an opportunity to point out flaws and consequences of the current evaluation and reputation mechanisms in science. Research funding





# **Swiss Open Access Monitor**





## **Journal Articles 2021-2023**

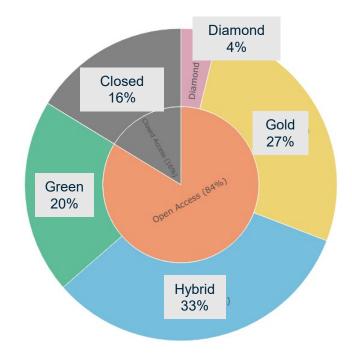
Switzerland

Closed 29%

Green 11%

Hybrid 27%

Eawag, Empa, PSI & WSL





# State of Open Access at the 4RI

	DORA Eawag	DORA Empa	DORA PSI 1	DORA WSL	Total
Journal Articles in 2023	480	769	1 296	770	3315
Open Access	<b>428</b> 89.2%	636 82.7%	1 083 83.6%	667 86.6%	2814 84.9%
With embargo	29 6.0%	68 8.8%	83 6.4%	<b>45</b> 5.8%	225 6.8%
Restricted	23 4.8%	65 8.5%	130 10.0%	58 7.5%	<b>276</b> 8.3%

Source: DORA > About <a href="https://www.dora.lib4ri.ch/about">https://www.dora.lib4ri.ch/about</a>



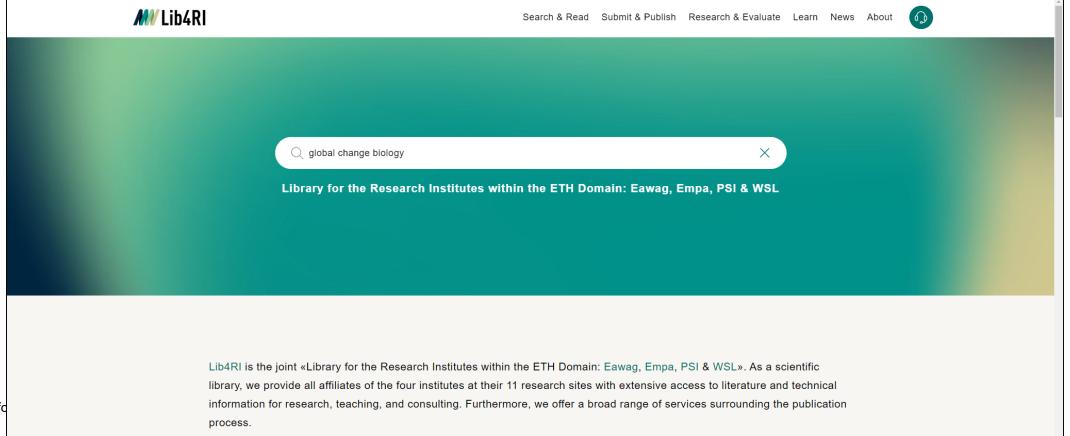


# Summary



# Learn about your options for Open Access

https://www.lib4ri.ch -> search for journal



Library fo





Version

Q global change biology

Articles, books, etc.



Website

# Switch to tab «Journals»

Journal articles, etc.

## Scopus

- Kumar N et al. Reverse engineering morphogenesis through Bayesian optimization of physics-based models. Npj Systems Biology and Applications 2024. https://doi.org/10.1038/s41540-024-00375-z.
- Serra Moncadas L et al. Freshwater genome-reduced bacteria exhibit pervasive episodes of adaptive stasis. Nature Communications 2024. https://doi.org/10.1038/s 41467-024-47767-7.
- O Gomes-dos-Santos A et al. The transcriptome assembly of the European freshwater mussel Unio elongatulus C. Pfeiffer, 1825. Scientific Data 2024. https://doi.org/10.1 038/s41597-024-03226-y.
- Ramakrishnan S et al. Race-specific coregulatory and transcriptomic profiles associated with DNA methylation and androgen receptor in prostate cancer. *Genome Medicine* 2024. https://doi.org/10.1186/s13073-024-013 23-6.
- Ragland CJ et al. Choreographing root architecture and rhizosphere interactions through synthetic biology. Nature Communications 2024. https://doi.org/10.1038/s 41467-024-45272-5.
- Garrigós M et al. Avian Plasmodium in invasive and native mosquitoes from southern Spain. *Parasites and Vectors* 2024. https://doi.org/10.1186/s13071-024-0613 3-8.
- Varliero G et al. Biogeographic survey of soil bacterial communities across Antarctica. *Microbiome* 2024. https://doi.org/10.1186/s40168-023-01719-3.

Books etc.

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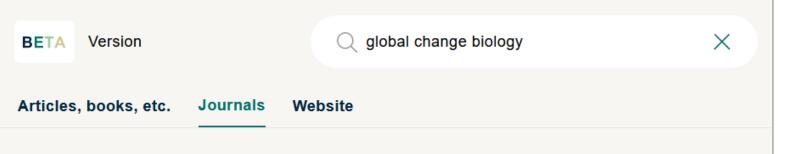
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Peer Reviewed

Journal Impact Factor: 5.6 (details)

Further Information: swisscovery | SHERPA/RoMEO | SCImago | DOAJ

## Global change biology

Available online (1997-)

Publisher: Wiley

Publication Period: 1995-

ISSN: 1354-1013 E-ISSN: 1365-2486

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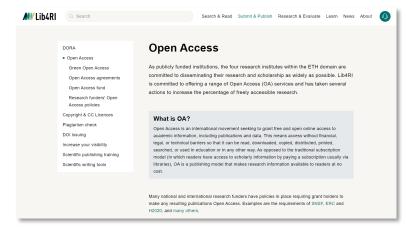


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