

Lothar Nunnenmacher

---

# Copyright Management for Authors

---

- ↪ Copyright Transfer Agreements
- ↪ Preserving your rights as an author



Unless otherwise noted, this work is licensed under a [Creative Commons Attribution 4.0 International License](https://creativecommons.org/licenses/by/4.0/), which means that it can be freely copied, redistributed and adapted as long as appropriate attribution is given.

# Your work – your rights

You are the creator / owner of your manuscript

The publisher needs your permission to publish your work

Three options:

You assign the  
copyright **exclusively**  
to the publisher

You assign the  
copyright **exclusively**  
to the publisher,  
but retain some  
specified rights

You retain all rights  
and transfer the  
**non-exclusive** licence  
to publish your work to  
the publisher

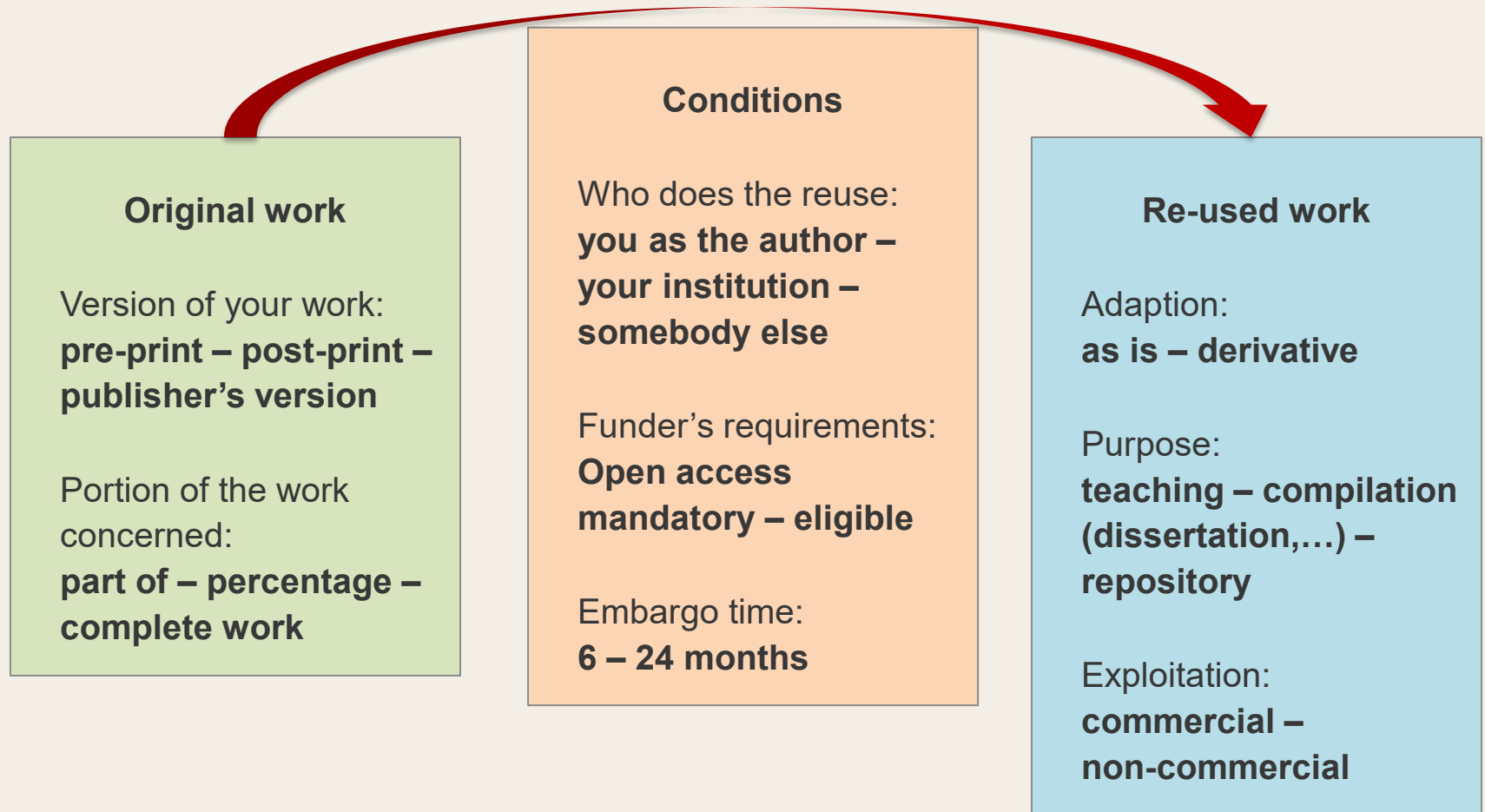
# Exercise – Copyright Transfer Agreements (CTAs)

|  | Elsevier | Copernicus |
|--|----------|------------|
| <b>1</b> Can I share a copy of the article with a colleague?                             |          |            |
| <b>2</b> Can I deposit a copy of the article ...<br><b>a</b> ... on my personal homepage |          |            |
| <b>b</b> ... to our institutional repository (DORA)                                      |          |            |
| <b>c</b> ... to other repositories, e.g. Zenodo / ResearchGate / Academia.edu            |          |            |

# Exercise – Copyright Transfer Agreements (CTAs)

|   | Elsevier | Copernicus |
|---|----------|------------|
| <b>3</b> Can I reuse a graph of the article for a presentation (where slides are presented on the web) or for a review article? |          |            |
| <b>4</b> Can I reuse the complete article ...<br><b>a</b> ... as part of my dissertation?                                       |          |            |
| <b>b</b> ... as a book chapter?   |          |            |
| <b>5</b> Can a colleague reuse a graph of the article for a review article?   |          |            |
| <b>6</b> Can a colleague republish the complete article as a book chapter (where the book is sold)?                             |          |            |

# Considerations about CTAs



# How to deal with CTAs

## Prepare

- ↵ understand the agreement (and the publisher's needs)
- ↵ anticipate your future needs (deal-breaker or nice to have?)

## Discuss / negotiate

- ↵ explain your needs
- ↵ remember to barter (ask for more)

## Are there other options?

- ↵ aims, you can concede
- ↵ other journal / publisher?
- ↵ licence back necessary rights
- ↵ pay for hybrid Open Access (not recommended!)

## Execute the agreement

- ↵ signed writing is necessary

# Read it, **change it** and then sign it

- ↩ Modify critical topics in the copyright transfer agreement such as „exclusive" transfer of "all" rights. It doesn't have to be all or nothing.
- ↩ Alternatively, add an addendum that retains these rights

**COPYRIGHT TRANSFER AGREEMENT**

COPYRIGHT TRANSFER AGREEMENT  
Wiley Production No. \_\_\_\_\_

Date: \_\_\_\_\_  
To: \_\_\_\_\_  
Re: Manuscript entitled \_\_\_\_\_  
(the "Contribution") for publication in the journal "\_\_\_\_\_"  
(the "Journal") published by \_\_\_\_\_

Thank you for submitting your manuscript for publication. In the event of publication, we need to have a signed copy of this Agreement for publication.

**A. COPYRIGHT**

1. The Contributor hereby transfers to the Publisher all copyright and other rights in the Contribution and the materials contained therein in any form or medium, now known or hereafter developed, in all languages and in all territories.
2. Reproduction, distribution, and other rights in the Contribution shall be as follows: (1) \_\_\_\_\_; (2) \_\_\_\_\_.

**B. RETAINED RIGHTS**

Notwithstanding to the extent permitted by law, the Contributor shall retain the right, such as presentation of the Contribution at conferences, to use the Contribution in the Contributor's internal network, to make copies of the Contribution internally in print format or electronically on the Contributor's internal network. Upon payment to the Contributor, the Contributor may distribute (but not re-sell) print copies of the published Contribution externally. If such not be available for individual sale, they may be included by the contributor/employer as part of an internal network.

**SPARC**<sup>®</sup>

[home](#) > [resources for authors](#)

## SPARC Author Addendum to Publication Agreement

**ADDENDUM TO PUBLICATION AGREEMENT**

1. THIS ADDENDUM hereby modifies and supplements the attached Publication Agreement concerning the following Article:

- » [SPARC Author Rights Initiative](#)
- » [Complete the Addendum online](#)
- » [Introduction to Copyright Resources](#)
- » [Campus & Regional Initiatives](#)
- » [Detailed information for NIH-funded researchers](#)

↩ SPARC: <https://sparcopen.org/our-work/author-rights/>

↩ Scholar's Copyright Addendum Engine: [scholars.sciencecommons.org](https://scholars.sciencecommons.org)

↩ EC's model amendment to publishing agreements: [www.openaire.eu/item/open-access-to-publications-in-horizon-2022](http://www.openaire.eu/item/open-access-to-publications-in-horizon-2022)

# Exercise – Copyright Addenda

|  | Delayed Access | Immediate Access | Access-Reuse | SPARC addendum |
|--|----------------|------------------|--------------|----------------|
| 1 Can I share a copy of the article with a colleague?                      |                |                  |              |                |
| 2 Can I deposit a copy of the article ...<br>a ... on my personal homepage |                |                  |              |                |
| b ... to our institutional repository (DORA)                               |                |                  |              |                |
| c ... to other repositories, e.g. Zenodo / ResearchGate / Academia.edu     |                |                  |              |                |



# Exercise – Copyright Addenda

|  | Delayed Access | Immediate Access | Access-Reuse | SPARC addendum |
|--|----------------|------------------|--------------|----------------|
| 3 Can I reuse a graph of the article for a presentation (where slides are presented on the web) or for a review article? |                |                  |              |                |
| 4 Can I reuse the complete article ...<br>a ... as part of my dissertation?  |                |                  |              |                |
| b ... as a book chapter?   |                |                  |              |                |
| 5 Can a colleague reuse a graph of the article for a review article?   |                |                  |              |                |
| 6 Can a colleague republish the complete article as a book chapter (where the book is sold)?                             |                |                  |              |                |

# What if the publisher rejects the author addendum?

- ↪ **Explain** to the publisher why it is important for you to retain these rights in your own work.
- ↪ **Ask** the publisher to articulate why the license rights provided under the SPARC Author Addendum are insufficient to allow publication.
- ↪ **Evaluate** the adequacy of the publisher's response in light of the reasonable and growing need for authors to retain certain key rights to their works.
- ↪ **Consider** publishing with an organization that will facilitate the widest dissemination of their authors' works, to help them fulfill their personal and professional goals as scholars.

from: SPARC, 2006: Author rights. <https://sparcopen.org/wp-content/uploads/2016/01/SPARC-Author-Rights-Brochure-2006.pdf>

# Thank you for your attention!

... and all the best for your work and for your negotiations with publishers!

Dr. Lothar Nunnenmacher

Lib4RI - Library for the Research Institutes within the ETH Domain: Eawag, Empa, PSI & WSL

Überlandstrasse 133 • 8600 Dübendorf

T +41 58 765 52 21

[lothar.nunnenmacher \[at\] lib4ri.ch](mailto:lothar.nunnenmacher@lib4ri.ch)

[www.lib4ri.ch](http://www.lib4ri.ch)

# Sources and further reading

- ↪ Association of Research Libraries: Author Rights.  
online: <http://www.arl.org/focus-areas/copyright-ip/author-rights>
- ↪ Bailey Jr, CW, 2008: *Author's Rights, Tout d: e Suite*. Digital Scholarship.  
online: <http://digital-scholarship.org/ts/authorrightrights.pdf>
- ↪ Science Commons: Scholar's Copyright Addendum Engine  
online: <http://scholars.sciencecommons.org/>
- ↪ SPARK, 2006: Author Rights & the SPARC Author Addendum.  
online: <https://sparcopen.org/our-work/author-rights/>